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Structural Characteristics of Natural Limestone Forests in Cat Ba Biosphere Reserves, Vietnam

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Authors' contributions

This work was carried out in collaboration among all authors. Authors THL, IMS and TTH designed the study. Authors THL, LTTH and QL performed the statistical analysis. Authors NVK, NVT, THQ, TNB and TCN conducted fieldwork. Authors THL and TVD wrote the first draft. Authors IMS and TTH managed the analyses of the study. All authors read and approved the final manuscript.

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ABSTRACT

An ecological understanding of natural forests after anthropogenic intervention is important for sustainable forest management. This study analyzed the overstory characteristics (species composition, growth, and diameter distribution) and regeneration (species richness, density, and height distribution) in natural limestone forests in Cat Ba Biosphere Reserve, Vietnam. Data were collected in nine study plots established in selective-logged (SLF), clear-cut (CCF), and unlogged (UF) forests. Results indicated that the overstory characteristics of SLF and CCF were lower than that of UF (species richness was 33 in UF, 20 in SLF, and 16 in CCF; DBH was 20.6 ±13.7 cm in

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UF, 16.9 ± 9.7 cm in SLF, and 10.5 ± 3.8 cm in CCF; Basal area was $38.2 \text{ m}^2 \text{ ha}^{-1}$ in UF, $24.0 \text{ m}^2 \text{ ha}^{-1}$ in SLF, and $11.4 \text{ m}^2 \text{ ha}^{-1}$ in CCF). For regeneration, species richness also varied from UF (25) to SLF (13) and CCF (5), while seedling density reversely increased. We concluded that a 20-year restoration was insufficient for artificially disturbed forests to recover their ecological functions, regardless of logging intensities and techniques applied.

Keywords: Natural limestone forest; forest harvesting, overstory; regeneration; forest restoration.

1. INTRODUCTION

Forests on limestone are widely distributed in the tropics, especially in Southeast Asia, northern Central America, Brazil, and the Greater Antilles. Tropical limestone forests occupy around 40% of the total tropical Asian landscape area [1]. Tropical forests on limestone are known as significant high biodiversity communities with many endemic species [2-5]. They form a particularly abundant endemic flora and have high environmental heterogeneity due to largescale changes in substrate solubility [6]. However, vegetation over limestone, or more particularly limestone forest is highly susceptible to environmental disturbance, while strong artificial perturbation can reverse their succession process, and restoration is difficult [7]. Moreover, limestone forest is more vulnerable to damages because of its typical shallow and patchy soil horizon, extremely slow soil formation with highly porous from the underlying limestone, characterizing with low water-retention capacity [8,9]. It is even considered as one of the most threatened and fragile vegetation types on the earth [10,11].

Human intervention, especially logging, has long been considered to be one of the main causes of tropical forest deforestation and forest degradation despite increasing protection and conservation efforts [12,13]. In 2005, more than 21% of the humid tropical forests were becoming selectively logged, the most widespread method of logging in the tropics and larger than clear-cut related methods previously applied [12]. This rise of logged forests makes the restoration and conservation of the tropical forest structure and biodiversity heavily dependent on the sustainability of logging methods [14].

Few studies have intensively investigated tropical limestone forests overall and those that had previously been affected by anthropogenic stresses in particular. However, the existing researches on the structure of this type of forest has contributed to raising the understanding on one of previously poorly studied subjects in forest ecology [15,16]. On the other hand, forest management and silvicultural practices require not only information on tree species composition and forest structure, but also the plant diversity [17,18]. Previous studies have also emphasized the importance of field surveys to improve our understanding of tree diversity in tropical limestone forests, especially in Central and South America [6,15,19].

The objective of the present study was to compare the differences in overstory characteristics and regeneration among selective-logged (SLF), clear-cut (CCF), and unlogged (UF) forests after 20-year protection in Cat Ba Biosphere Reserve, Vietnam.

2. MATERIALS AND METHODS

2.1 Study Area

This study was conducted in the Cat Ba Biosphere Reserves $(20^{\circ}44'50''-20^{\circ}55'29''N, 106^{\circ}54'20''-107^{\circ}10'05''E)$ in Northeastern, Vietnam (Fig. 1). The Cat Ba Biosphere Reserves has a total area of 26,241 ha with 17,040 ha of landmass and 9,201 ha of the marine environment. It is located in the tropical monsoon climate with an average annual rainfall of 1,700-1,800 mm. The average temperature is 25-28°C (max. 36°C and min. 10°C) and average humidity is 85% [20].

The Cat Ba Biosphere Reserves is characterized by a unique variety of landscapes and ecosystems, including limestone karsts, tropical limestone forests, coral reefs, mangroves, seagrass beds, lagoons, beaches, caves, and willow swamp forests [21]. It has a significant biodiversity value as it is home to a number of rare and endangered species of plants and animals with the world's rarest primates – the Golden-headed Langur. It is identified as one of the highest biodiversity areas in Vietnam and is recognized as a high priority for global conservation [22,23].

The original vegetation in Cat Ba Biosphere Reserves was characterized by tropical evergreen broad-leaved forest developed on limestone bedrock before degradation in the 1970s [24]. The forests were subjected to overlogging by the legal and illegal, land-use shift to agriculture or annual crops and have been partly in the regeneration process at different stages since the 1990s [25]. Locations for setting up of sample plots were selected basing on their representativeness for each type of human impact (Table 1).

2.2 Data Collection

Nine sample plots of 400 m² (20 × 20 m) were established, including 3 plots in UF, 3 plots in SLF, and 3 plots in CCF (Fig. 2). In each sample plot, all stems with a diameter at breast height (DBH) \square 5 were identified to species and measured for DBH (cm). The canopy cover was measured by the ground-based method using a sighting tube in forest vegetation with a random sampling design. Four 5x5 m (25 m²) plots within each of the 400 m² sample plots were set up to identify the species and survey the number of species and height of regenerated trees with DBH < 5cm.

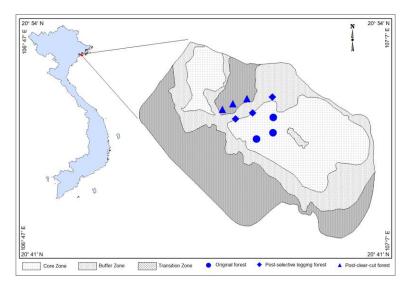


Fig. 1. Map of the study site with plot locations in the Cat Ba biosphere reserves

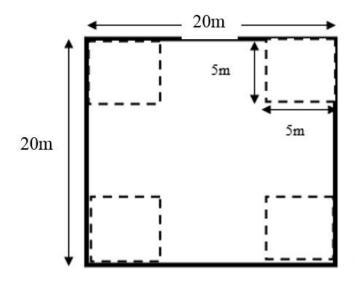


Fig. 2. Sample plot layout in the study site

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Plot	X coordinate	Y coordinate	Sloping (degree)	Altitude (m)	Forest type	Historical human impact
UF1	629743	2301148	5	108	Natural limestone forest	None
UF2	631812	2300637	25	96	Natural limestone forest	None
UF3	631100	2300763	10	43	Natural limestone forest	None
SLF1	632171	2303182	20	122	Natural limestone forest	Selective logging completed - Natural regeneration
SLF2	629366	2305496	25	92	Natural limestone forest	Selective logging completed - Natural regeneration
SLF3	629311	2302878	7	61	Natural limestone forest	Selective logging completed - Natural regeneration
CCF1	627872	2297025	20	114	Natural limestone forest	Clear-cut completed - Natural regeneration
CCF2	625409	2304823	38	81	Natural limestone forest	Clear-cut completed - Natural regeneration
CCF3	625644	2305091	15	61	Natural limestone forest	Clear-cut completed - Natural regeneration

Table 1. General characteristics of sample plots in the study area

2.3 Data Analysis

2.3.1 Overstory

Species composition was identified by using the Importance Value Index (IVI), calculated by Eq. 1 [26].

$$IVI_i = \frac{N_i + G_i}{2} \tag{1}$$

where IVI_i (%) is the importance value of species i^{th} , N_i is the stem ratio of species i^{th} , G_i is the stem basal area of species i^{th} .

The total basal area for each plot was calculated by Eq. 2.

$$G = 3.1416 \times \frac{\left(\frac{D}{400}\right)^2}{400} \tag{2}$$

where G is the sum of the basal area of tree (m^2) , D is DBH (cm).

Canopy cover was identified as the percent forest area occupied by the vertical projection of tree crowns and calculated by Eq. 3.

$$C = \frac{N_c}{N_t} \tag{3}$$

where Nc is the number of sample points covered by the canopy and Nt is the total number of points sampled.

2.3.2 Species diversity

Species diversity was estimated by Gini-Simpson index [27], Shannon – Weiner index (H') [28], and evenness index (J') [29]. These index were calculated from Eq. $(4)\sim(6)$ as follow.

$$1 - \lambda = 1 - \sum_{i=1}^{s} p_i^2$$
 (4),

$$H' = -\sum_{i=1}^{s} p_i \ln p_i$$
 (5),

$$J = \frac{H'}{\ln(S)} \tag{6}$$

where: s is the number of species in the plot and p_i is the proportion of individuals belonging to the i^{th} species in the dataset.

2.3.3 Statistical analysis

Stand parameters including species diversity, DBH, basal area, density, and canopy cover were calculated for each survey plot among three forest types separately.

The assumption of ANOVA (single factor) such as homogeneity of variance was checked for all variables. Tukey's protected least significant difference (LSD at P = .05) post hoc test was used to determine the differences of means of all measured parameters among three forest types. All analyses were performed using IBM SPSS Version 22.0 software package (IBM, Armonk, NY, USA).

3. RESULTS AND DISCUSSION

3.1 Results

3.1.1 Overstory diversity and composition

There was a significant difference in the number of species and families as well as biodiversity among UF, SLF, and CCF (Table 2). The UF had the highest number of species, families, and biodiversity values. These indices steadily decreased to the SLF and CCF forests.

In the UF (Table 3), the most dominant species were Engelhartia roxburghiana (IVI=11.3) and Dracontomelum duperreanum (9.6), which were slow-growing, high-value endemic species of Vietnam. In the SLF, Streblus macrophyllus (18.2) and Sacara dives (13.4) were the most dominant species, both are the pioneer and light-tolerant species. In the CCF, Ficus auriculata (25.0) and Streblus ilicifolius (17.1) dominated the forest, both were pioneers with low value and median growth. Furthermore, none of the species found in UF occurred in the other two forest types, while only two species (Streblus macrophyllus and Streblus ilicifolius) in SLF were also found in CCF. None of the species with IVI > 5 in UF belonged to the same family, while in SLF this figure was two, and for CCF it was three.

3.1.2 Overstory growth characteristics

Stem density increased from UF (800 stems ha⁻¹) to SLF (817 stems ha⁻¹) and CCF (1,117 stems ha⁻¹). However, the average density between UF and SLF was not statistically different (Table 4). DBH decreased sharply from UF to SLF and CCF (Table 4). Basal area was significantly higher in UF (38.2 m² ha⁻¹) than in SLF (24 m² ha⁻¹) and CCF (11.4 m² ha⁻¹). Canopy cover likewise was significantly higher in UF (79.3%) than SLF (62.4%) and CCF (52.7%).

The diameter distribution (N/D) was varied among three forest types (Fig. 3). The UF had N/D by the Meyer and Distance functions. The SLF did not follow any distribution function. While N/D of the CCF followed the Distance function.

3.1.3 Regeneration characteristics

There was a significant difference in regenerated species richness between UF (35 species) and

the other two forest types (13 species in SLF and 5 species in CCF) (Table 5).

The number of regenerated species in UF was significantly higher than that in the overstory layer (35–33 species, a 5.7% increase from the overstory). While SLF and CCF had a lower number of regenerated species than overstory species (12–20 species, a 37% reduction in SLF; 6–16 species, a 43.1% reduction in CCF) (Table 2).

The density of regenerated species decreased from CCF (43,573 seedlings ha⁻¹) to SLF (21,733 seedlings ha⁻¹) and UF (8,373 seedlings ha⁻¹). Most seedlings are in a height class of < 0.5 m, the seedling number decreased in higher height classes (Fig. 4). The density of regenerated species in CCF decreased rapidly from 31,120 seedlings ha⁻¹ at <0.5 m to 2,293 seedlings ha⁻¹ at > 1 m. Meanwhile, in the SLF 10,960 seedlings ha⁻¹ at <0.5 m decreased to 3,797 seedlings ha⁻¹ at <0.5 m decreased to 0.5,147 seedlings ha⁻¹ at <0.5 m decreased to 0.5,147 seedlings ha⁻¹ at <1 m.

3.2 Discussion

3.2.1 Overstory diversity and composition

Biodiversity in the studied forests appeared to be highly affected by exploitation intensities, showing the UF had much higher diversity indices than the two logged forest types. This might be explained by the fact that under natural or unaffected conditions, the diversity of limestone forest would be high [18.30]. For SLF and CCF, the lower values of biodiversity were due to the shortage of seedlings and the previous human activities [17,18,31]. However, this finding was in contrast with other studies where they indicated that the formation of gaps in the unstable forest canopy created by logging activity is a favorable condition for the growth of many tree species, thus allowing the appearance of new species, especially light-demanding pioneers previously unable to grow under oldgrowth forest canopy [32,33].

On the other hand, the biodiversity of natural limestone forests in the study area was much lower than that of other evergreen forests in Vietnam and neighboring countries with similar ecological characteristics. The H' index in Xishuangbanna-China was 3.02 - 3.89, while in Ba Be National Park – Vietnam varied from 1.9 to 3.61 [34,35]. It was also lower than the limestone forest in Sarawak – Malaysia where H' was 3.0 -

3.7 [36]. The D' index in Bidoup-Nuiba evergreen forest was 0.903, and in Binh Dinh, the values were between 0.955 - 0.963 [37,38], both are limestone forests in Vietnam. This could be due to the isolation of the study area and the historical human logging that destroyed the majority of forest in the area, which created a lack of seed sources from both outsides and within the area, as previously suggested by Howe et al. [39]. Moreover, limestone karst site conditions were complex, poor in nutrition, with a high percentage of exposed rocks, leading to the fact that many species did not have favorable growth context [40,41].

The result of species composition in the study area showed a large variation across three forest types. In general, the UF had the appearance of many rare and slow-growth, shade-tolerant species, each with low IVI value and none deeply dominated the landscape. This was similar to the research results in similar other non-affected natural limestone forests in Than Sa – Phuong Hoang Reserve, Vietnam [42] and Xishuangbanna National Parks, China [43].

The lack of shade-tolerant species and the appearance of 1 to 2 predominantly pioneers, light-tolerant species that overwhelm the growth of other species in SLF and especially in CCF resulted from various causes, such as these species could develop well on poor nutrient soil, high steepness; human intervention causing the destruction and disappearance of other species (i.e. invasive cultivation, logging, ecotourism, etc.). These findings presented a significant reduction in plant resources in the two logged forest types, where the valuable species found in old-growth forests were severely harvested, leaving only those with little value in SLF and none left in CCF, similar to results of other studies in secondary forests in Cat Ba [25] and Binh Dinh, Vietnam [38].

3.2.2 Overstory growth characteristics

The results represented the difference of tree community between the undisturbed core zone and the disturbed buffer zone at Cat Ba Biosphere Reserves. The tree density between UF and SLF was not significantly different, while it was significantly different from CCF. Other indicators were significant differences among the three forest types, with UF had much higher values than the others, indicating the consequences of logging on habitat in the study area. UF also had comparable growth characteristics with other natural limestone forests such as in Xishuangbanna, China $(G = 33.5 \text{ m}^2 \text{ ha}^{-1})$, Bau Hill $(G = 28 \text{ m}^2 \text{ ha}^{-1})$, and Gunung Mulu National Park in Sarawak, Malaysia (G = $37 \text{ m}^2 \text{ ha}^{-1}$) [36,44,45]. The aforementioned characteristics in SLF were also similar to other forest ecosystems in the region. In Binh Dinh, Vietnam, SLF had a tree density of 574-1,122 stem ha⁻¹ and DBH of 14.3-18.1 cm [38], while the SLF forest in Dong Nai Biosphere Reserves in southern Vietnam had G of 25.7 m² ha⁻¹ [46]. However, CCF in the study site had much lower growth than other similarly affected forests in Vietnam. For example, in Dong Nai Biosphere Reserves, had G of 17.8 m² ha⁻¹ [46]. CCF in Than Sa - Phuong Hoang Biosphere Reserves had DBH of 14.1 cm and G of 13.0 m² ha⁻¹ [47]. This suggested the intensive silvicultural measures should be applied to improve the vegetation development ability to restore the CCF in the long term.

The study of N/D distribution helped determine the horizontal distribution of the number of trees, and the previous history of impact measures (CCF or SLF). In a forest, if the N/D distribution was continuously reduced in adjacent diameter sizes, and there were many large trees (> 40 cm), then it reflected that the forest was little or no impact, entering a phase of stability. Conversely, if the N/D distribution was interrupted, with high peaks protruding at large diameter sizes indicates that the forest has undergone SLF. The N/D distribution provides a scientific basis for classifying forest objects, thereby proposing suitable impact measures towards the restoration of forests to primeval state [48,49].

In the study area, the UF and CCF follow the Meyer or Distance distribution, meaning that these forests were either not affected or had undergone widespread exploitation. The N/D distribution that undulating and intermittent peaks, many which do not follow any distribution rule in the SLF was because it has undergone selective exploitation, valuable trees with large diameter were mostly exploited, resulting in the fact that only a few trees of large diameter remained. This result was consistent with previous studies in Vietnam [35,38,46].

3.2.3 Regeneration layer growth characteristics

Factors such as forest structure (basal area, density, and canopy cover), gap, and vegetation characteristics play an important role in

structuring regeneration communities [50]. It is generally recognized that the species richness of seedlings is positively associated with overstorey species abundance [51]; the species composition of regeneration communities in harvested areas appears to be determined by a complex assortment of environmental factors [50]. The ground flora, especially natural regeneration, may take advantage of any canopy openings [52].

The results in this study showed the difference in regeneration layer among the UF and SLF, CCF. The number of regenerated species in UF was much higher than in SLF and CCF because natural conditions in UF were highly stable, with the addition of seedlings from adjacent original forests that ensured the gradual development of many species. The SLF had a lower number of regenerated species than UF due to the shortage of seed supply from surrounding areas as well as the human activities in the past that caused many species to disappear from the landscape [53,54]. The CCF, on the other hand, had a dramatically lower number of regenerated species compared to UF and SLF, partly due to the lack of external seed supply and partly due to the poor site condition. This showed the unstable development and lack of potential to enhance biodiversity in the future in CCF. These results were consistent with previous studies [55,56], in which the authors argued that the original undisturbed forest usually had a higher number of regenerated tree species than the disturbed forest.

The density and distribution of seedlings by height in the study area represent the rules of forest structure: in the younger stage, the number of seedlings is high but through growth and development, due to natural selection, the number of regenerated trees decrease, to a period of stability and development, which is called the closed canopy stage [57,58]. However, the seedling density in CCF decreased rapidly when reaching higher height levels was because of low canopy cover, leaving growing space for regenerated seedlings to grow, but when the seedlings reach a certain maturity level, unfavorable environment, especially a nutrient deficiency in the soil as well as the dense of shrubs well-established in this forest significantly increased the mortality of seedlings [59-61].

Variables	Forest type			
	UF	SLF	CCF	
Number of species	33 ^a	20 ^b	16 ^c	
Number of families	12 ^a	7 ^b	6 ^b	
Gini – Simpson's index	0.880 ^ª	0.790 ^b	0.567 ^c	
Shannon – Weiner's index (H')	2.353 ^a	1.805 ^b	1.163 [°]	
Evenness (J')	0.910 ^ª	0.855 ^b	0.679 [°]	

Table 2. The species diversity index of the overstory layer of Unlogged forest (UF), Selectivelogged forest (SLF), Clear-cut forest (CCF)

* Means followed by different letters in the same row are significantly different between forest types at P = .05

Table 3. Species composition of the overstory layer of Unlogged forest (UF), Selective-logged forest (SLF), Clear-cut forest (CCF)

Forest type	Species	Family	Importance Value Index (IVI)
UF	Engelhardtia roxburghiana Wall	Juglandaceae	11.3
	Dracontomelum duperreanum Pierre	Anacardiaceae	9.6
	Pterospermum diversifolium Blume	Sterculiaceae	9.5
	Bischofia javanica Blume	Phyllanthaceae	6.6
	Dysoxylum loureirii Pierre	Meliaceae	6.4
	Elaeocarpus griffithii Mast	Elaeocarpaceae	5.5
	Deutzianthus tonkinensis Gagnep	Euphorbiaceae	5.1
SLF	Streblus macrophyllus Blume	Moraceae	18.2
	Saraca dives Pierre	Fabaceae	13.4
	<i>Homalium paniculiflorum</i> F.C. How & W.C. Ko	Salicaceae	9.9
	<i>Mischocarpus pentapetalus</i> (Roxb.) Radlk	Sapindaceae	9.8
	<i>Glycosmis pentaphylla</i> (Retz.) DC	Rutaceae	7.7
	Diospyros susarticulata Lecomte	Ebenaceae	7.2
	Streblus ilicifolius (S. Vidal) Corner	Moraceae	6.6
CCF	Ficus auriculata Lour.	Moraceae	25.0
	Streblus ilicifolius (S. Vidal) Corner	Moraceae	17.1
	Actephila longipedunculata (Merr.) Croiz	Euphorbiaceae	15.4
	Litsea rotundifolia Hemsl.	Lauraceae	7.8
	<i>Vitex quinata</i> (Lour.) F.N. Williams	Lamiaceae	6.9
	Streblus macrophyllus Blume	Moraceae	6.2
	Glycosmis pentaphylla (Retz.) A. DC	Rutaceae	5.5

Table 4. Growth characteristics (± SE) of overstory for Unlogged Forest (UF), Selective-logged forest (SLF), Clear-cut Forest (CCF)

Variables	Forest type			
	UF	SLF	CCF	
Density (stems ha ⁻¹)	800 ± 175 ^a	817 ± 189 ^a	1,117 ± 161 ^b	
DBH (cm)	20.6 ± 13.7 ^a	16.9 ± 9.7 ^b	$10.5 \pm 3.8^{\circ}$	
G (m²ha⁻¹)	38.2 ± 10.3 ^a	24.0 ± 5.7 ^b	11.4 ± 5.6 ^c	
Canopy cover (%)	79.3 ± 9.1 ^a	62.4 ± 4.5 ^b	52.7 ±7.4 ^c	

* DBH is diameter at breast height, G is stem basal area. Means followed by different letters in the same row are significantly different between forest types at P = .05

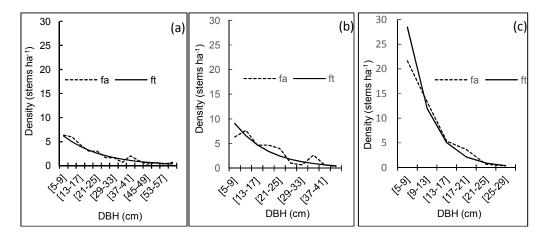


Fig. 3. N/D distribution, (a) Unlogged forest, (b) Selective-logged forest, (c) Clear-cut forest fa is actual frequency, ft is the theoretical frequency

 Table 5. Natural regeneration characteristics of Unlogged forest (UF), Selective-logged forest (SLF), Clear-cut forest (CCF)

Variables		Forest type			
	UF	SLF	CCF		
Number of species	35 ^a	13 ^b	5 °		
Density (seedlings ha ⁻¹)	8,373 ± 5,041 ^a	21,733 ± 5,148 ^b	43,573 ± 19,766 ^c		

^{*} Means followed by different letters in the same row are significantly different between forest types at P = .05

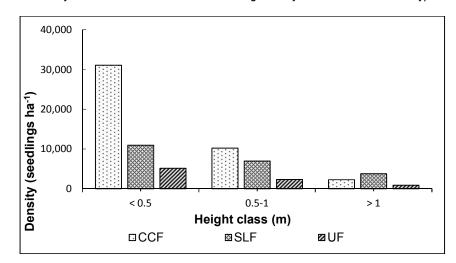


Fig. 4. Seedling distribution by height. Unlogged forest (UF), Selective-logged forest (SLF), Clear-cut forest (CCF)

4. CONCLUSION

The results obtained from this study showed that the forest structure in SLF and especially CCF was degraded from that in UF because of historical human activities in the study area. The CCF had low and unstable growth of overstory plants with poor regeneration and low biodiversity, dominated by mostly pioneer species. The SLF had better development than the CCF but the biodiversity level was recorded still low. UF was characterized by good growth and development parameters, which were superior to SLF and CCF, but low species richness here represented that the site condition in the study area, namely limestone bedrocks was not as favorable for plants compared to other forests in Vietnam.

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COMPETING INTERESTS

Authors have declared that no competing interests exist.

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