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# An Extensive Review on the Development of Plant-Based Vaccines against SARS-Cov-2 and Respiratory Disorders Using Plant Biotechnology Platform

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# Authors' contributions

This work was carried out in collaboration between both authors. Both authors read and approved the final manuscript.

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**Review Article** 

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# ABSTRACT

The COVID-19 pandemic is the most recent of numerous pathogenic viral pandemics that have posed risks to global health. Its exponentially expanding global transmission is placing pressure on the global health system. There are currently a large number of COVID-19 candidate vaccines on the market, and there is fierce worldwide competition to obtain the most vaccinations for each nation. The main objective among several nations during the exceptional COVID-19 epidemic has been to build herd immunity through the planning of large-scale vaccination efforts. However, the availability of vaccines has been a challenge for developing countries. However, there are strains in the world's vaccine manufacturing as a result of the high demand. This international effort aims to develop plant-based heterologous expression systems, virus-like particles (VLPs)-vaccines, antiviral drugs, a rapid supply of antigen-antibodies for detecting kits, and plant-origin bioactive compounds that boost immunity and provide tolerance to fight against virus infection, also includes the use of a plant biotechnology-based expression system for vaccine production. Due to possible benefits including low cost, high manufacturing volume, and physicochemical characteristics, the idea of a plant-based vaccination has gained more and more momentum in recent years. By utilising local commodities, we suggest plant-based vaccines as an appealing alternative to widespread and

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inexpensive vaccination methods against COVID-19 in this review. Additionally, we discussed the processes of action, necessary standards, and prospects for applying unique biotechnology tools in near future.

Keywords: SARS-CoV-2 virus; COVID-19 vaccine; plant-based vaccines; respiratory disorder; expression systems.

# 1. INTRODUCTION

A potentially catastrophic SARS-Cov-2 epidemic with fatal respiratory syndrome was reported in Wuhan, China, around the end of 2019. A pandemic has been sparked by this outbreak all over the globe. It has affected 223 nations and resulted in more than 175,306,598 infection cases and more than 3,792,777 fatalities as of June 2021, 16 months after its discovery (WHO) [1]. Over one million people worldwide pass away every year as a result of infectious diseases. Recent years have witnessed a significant number of novel or previously unidentified bacterial, fungal, viral, and parasitic diseases arise. However, many previously treated infections have simultaneously returned or developed resistance to antimicrobial treatments. New viral strains and resurgent infectious illnesses are endangering public health worldwide and are a constant threat [2]. Compared to the influenza A virus subtype H1N1 pandemic, which had a fatality rate of roughly 0.02%, COVID-19 has a greater mortality rate (2.2%) and transmissibility. Single-stranded RNA viruses known as coronaviruses fall into one of four categories:  $\alpha$ -CoVs,  $\beta$ -CoVs,  $\gamma$ -CoVs, and  $\delta$ -CoVs. Governments are attempting to manage this outbreak by urgent testing and containment. These actions will decrease the spread of infections, lower mortality rates, and stop the healthcare system from failing. Additionally, it will give researchers enough time to create quick diagnostic kits, therapies that prevent infection, and a potential vaccine to immunize the general public.

This pandemic highlights the urgent need for a vaccination that can terminate the disease and, ideally, prohibit the virus from spreading [3]. Pharmaceutical formulations classified as vaccines aim to elicit an immune response against a specific disease [4]. They can be made from disease-causing organisms that have been killed or rendered inactive, recombinant vectors, protein fragments, or DNA/RNA nucleic acids. The immune system is strengthened during the vaccination process, preparing the body to distinguish and fight against new infectious

invaders [5,6]. By harnessing their expertise and platform to produce a solution as quickly as possible in comparison to months or years based on a cell-based platform, researchers working on plant-based vaccines can also play a significant role during this pivotal time [4]. An innovative vaccination idea that has recently undergone testing may be able to address the shortcomings of conventional vaccines. This novel idea refers to the bioengineering of antigens using genetically engineered plants [7]. Vaccines manufactured from plants are referred to as plant-based medicines. In recent years, plantbased vaccines have emerged as a new innovative technology that has drawn significant interest from both academia and business [8,9]. This intriguing method seeks to guickly trigger particular immune responses after oral administration and absorption of the plant-based vaccination. Given that plant diseases are not known to infect humans, one intriguing benefit of plant-based vaccinations is that the probability of contamination by plant pathogens is very negligible or even undetectable [10]. Plant-based production platforms are viewed as an excellent alternative to traditional vaccinations since they can be manufactured quickly and easily [11]. Plant-based vaccines are a good option that can open the door for mass production to satisfy market needs with shorter processing times in addition to the manufacturing process and costeffectiveness [12]. These unique benefits make plant-based vaccines an appealing idea for effective vaccinations quickly creating for COVID-19, a disease that has suffered an unexpected and sudden outbreak throughout the entire world [13,14]. In this paper, we propose plant-based vaccines as a potential method for widespread immunisation against COVID-19 and talk about the benefits, drawbacks, and future potential of this potent biotechnological resource.

#### 2. THE CONCEPT OF BIO-FARMING WITH PLANT-BASED-VACCINES

A genetically engineered transgenic plant offers the ideal manufacturing infrastructure for substantial biopharmaceutical production. These plants have been extensively utilised for the creation of biopharmaceuticals throughout the past three decades. A vast varietv of biopharmaceuticals, including cytokines, growth factors, antibodies, and vaccines, have been created using this method [15]. According to Hiatt et al., transgenic tobacco plants produce antibodies [16]. "The first instance of bio-farming, where the goal is to recover and use only protein products as opposed to the entire plant, was this one. By overexpressing the human serum albumin gene, recombinant human serum albumin is synthesized in transgenic potato and tobacco plants" [17]. These ground-breaking studies let a wave of plant bio-farming in plants [3]. The key benefits of these plant-based viral expression methods comprise preventing the of human diseases. replication making complicated proteins easilv. and usina straightforward bioreactors [18].

"Measles, cholera, foot and mouth illnesses, hepatitis B, C, and E are only a few of the target diseases for which plant-based vaccine technology has been used" [19]. "Several plant species have been utilised extensively to express foreign antigens in their plant-based sections, including Arabidopsis, alfalfa, potato, soybean, lupine, lettuce, tomato, wheat, cowpea, apple, rice, black-eyed bean, corn, banana, canola, carrot, clover, papaya, peanut, spinach, and tobacco. A few of these have progressed to more advanced preclinical and clinical evaluation stages (e.g., potato, spinach, and lettuce have reached phase 1, tobacco and maize reached phase 2, while carrot cell suspension has reached phase 3)" [20]. The primary mode of action of plant-based vaccines is the expression of a transgene in a chosen plant cell, which stimulates the systemic and mucosal immune systems to combat a targeted foreign pathogen. The transgene does not always require to be integrated into the plant host genome, though. It is possible to express genes rapidly and at high levels using plant viruses, such as the Tobacco Mosaic Virus (TMV), temporary expression by the invasion of tissues with Agrobacterium, or direct gene delivery techniques without the use of a carrier [4]. The composition of a plant-based vaccine is meant to act as a source of recombinant antigen produced in the host, biomass, or purified fractions which are meant to act as inducers of protective immunity during administration by various means. This technology has promise for the creation of mucosal-delivered vaccines, especially oral vaccines that require little preparation for processing and administering raw plant material.

Many pathogenic agents enter the body primarily through the mucosa after entering through the respiratory, vaginal, or digestive tracts. This causes a secretory immunoglobulin A (IgA) response, which acts as the body's initial line of defence against pathogens. An organised set of lymphoid tissue structures known as mucosaassociated lymphoid tissue (MALT) structures is coupled to mucosal immune cells [21]. The capacity of mucosal and systemic immune responses to be stimulated, offering two important arms for immunoprotection, is one of the key characteristics of mucosal vaccines. With regard to this, mucosal IgA secretion has been seen in response to several plant-based vaccines given orally, and these antibodies have been detected in both the mucosal site of antigen presentation and additional mucosal sites. Additional proof for the development of mucosal responses comes from the identification of antibody-secreting cells in peripheral blood following oral administration of plant-based vaccinations [22,23].

When given orally, the main technical challenges for subunit vaccines, including plant-based vaccines, are to endure gut digestion, cross the mucus barrier, interact with epithelial and professional sampling cells (e.g., M-cells), and then be absorbed in a way that triggers an immune response and confers protection. Different techniques have been used to shield antigens from digesting enzymes. The use of bacterial strains that have been attenuated and the encapsulation of the antigen in a barrier are the two primary categories. The benefit of attenuated strains is that they direct the antigen to the surface of the colon, where the mucosal immune system can absorb it [23]. However, this strategy could have the same safety drawback as any vaccination based on an attenuated strain. Encapsulation, which can be performed liposomes, biodegradable polymers, with proteosomes, or a product made from transgenic plants expressing the antigen, is a naturally safer means of antigen protection. Protecting these antigens through bioencapsulation in seeds is inexpensive in comparison to other antigen encapsulation techniques, which demand additional processing steps. Plant-based antigens are now produced from genetically modified plants using two different techniques: stable transformation and transient expression transformation systems (Fig. 1). The approach chosen will depend on where the transgene was put into the cells. Given that the desired transgene is inserted into the host's genome, the Samanta and Chatterjee; AJBGMB, 12(1): 35-47, 2022; Article no.AJBGMB.90897



Fig. 1. Concept of plant-based vaccine with stepwise elaboration (Created with BioRender https://biorender.com/)

stable transformation system, also known as nuclear and plastid transformation, is defined by its capacity to generate persistent mutations within the genetic material of the recipient cell.

The stable transformation system is the most widely used method for expressing heterologous proteins in plants. However, the lengthy time required to produce changed plant lines has drawbacks. Transient expression systems are the favoured option for producing large volumes of recombinant proteins quickly. These systems can either rely on infecting plants with a modified plant virus, invading plants with Agrobacterium, or combining the two techniques. The temporary expression system is faster in all forms than steady transformation [24]. By using vacuum infiltration technology, *Agrobacteria* (transgenic vector system carriers) can be injected into all aerial regions of the plant and can convert their T-DNA into plant cells [25]. Strong yields, simple scaling, and the availability of industrial procedures are the hallmarks of the *Agrobacterium* delivery-based technique. By using these criteria, it is possible to avoid the difficulties with steady integration [26].

# 3. THE STATUS OF PLANT-BASED VACCINES FOR RESPIRATORY DISEASE IN MODERN TIMES

"For diseases including Bursal disease virus, influenza, Respiratory syncytial virus, *Streptococcus pneumoniae*, *Bacillus anthracis*, *Mycobacterium tuberculosis*, and asthma, there are numerous plant-based vaccine candidates available" [7]. These vaccines can be produced affordably and safely utilising low-cost bioreactors. It may be taken orally, therefore there is no need to purify the antigen, which reduces production costs significantly.

"Transient expression of VP2 was employed to create a plant-based vaccination against the infectious Bursal disease virus in Nicotiana benthamiana" [27]. "Haemagglutinin, a surface glycoprotein involved in influenza virus infection, and M1 protein were employed in a plant-based influenza vaccine (most abundant structural matrix protein in the viral core)" [28,29]. "D'Aoust et al. reported the generation of enveloped influenza VLPs in the plant in a ground-breaking study" [30]. It paved the way for the mass manufacturing of a plant-based H5N1 influenza vaccine based on VLP with a yield that might reach up to 1500 doses per kilogramme of infiltrated leaves [31]. "The expression of HAs from the strains A/Indonesia/5/05 (H5N1) or A/New Caledonia/7/2009 resulted in the creation of VLPs, according to another study (H1N1). They appeared briefly in N. benthamiana" [32].

"Both adults and children might get sick from the lower respiratory tract due to the respiratory syncytial virus. The RSV fusion (F) protein gene was recently expressed in transgenic tomato plants to create a fruit-based consumable subunit vaccination" [33–35]. "The fruit-specific E8 promoter regulated the expression of the F-gene in ripening tomato fruit. Oral administration of mature transgenic tomato fruit to mice caused the development of mucosal and serum RSV-F specific antibodies" [36].

Nearly two million children under the age of five per year die from an infection brought on by Neisseria meningitidis, Haemophilus influenzae, and Streptococcus pneumoniae (the pneumococcus). Despite the widespread use of pneumococcal vaccinations, S. pneumoniaerelated disease is still prevalent. It is mostly caused by the vaccine's lack of serotypes [37]. According to a new study, plants can be modified to produce bacterial polysaccharides, which can act as a protective immunity. Additionally, they illustrated this idea using S. pneumoniae serotype 3 capsular polysaccharides, which are frequently isolated from disease cases (Table 1).

Another condition for which plant-based vaccinations worked well was anthrax. Anthrax is brought on by the Gram-positive bacteria Bacillus anthracis [38]. Even in the harsh climate, its spores can survive for generations. These spores create three anthrax poisons inside the

host cells: oedema factor (EF), lethal factor (LF), protective antigen (PA) [39]. "Spore and inhalation spreads B. anthracis along the respiratory tract, resulting in severe respiratory distress, cyanosis, shock, and eventual death. Numerous studies on the use of heterologous expression systems for vaccines, such as bacterial, viral, or plant systems, have been published" [40]. "Plant-based vaccines enhance the immune response in the gut system by gradually releasing the antigen due to their bio-encapsulation natural protection from digestive enzymes. The primary virulence factor that causes anthrax is PA" [41]. Through intraperitoneal immunisation, PA expression in tobacco and tomato induces deadly toxinneutralizing antibodies in a mouse model. Agrobacterium-mediated transformation has recently been exploited to express PA in mustard, which is frequently used as a vegetable for the stems and leaves as well as cattle feed in many different parts of the world [42]. A particular mucosal immune response was seen in those that were orally inoculated (Table 1).

The infectious disease tuberculosis is also prevented by vaccinations based on plant biotechnology. Tuberculosis is brought on by Mycobacterium tuberculosis. Droplets released into the air by an infected person can spread it from person to person [43]. "In terms of mortality, TB was even more deadly than HIV, making it a more serious epidemic than was anticipated. Seven oral plant biotechnology-based TB vaccines have so far undergone thorough evaluations in preclinical, experimental, and phase I clinical studies. Ag85B, ESAT-6, MPT64, and MPT83 antigens are all expressed in potatoes" [44,45]. "Acr and Aq85B antigens are expressed in tobacco; LTB and ESAT-6 antigens are expressed in Arabidopsis thaliana; CFP10 and ESAT-6 antigens are expressed in carrot, and Mtb72F (Mtb32/Mtb39) and ESAT-6 fused to CTB and its antigens are expressed in the chloroplast of tobacco and lettuce, respectively" [46,47].

The above-mentioned plant-based vaccination study can have a big impact on SARS-CoV2 because it is also a respiratory disease. Medicago Inc. has previously submitted various applications employing the same virus-like particle technology that it employed in the study noted earlier for a plant-based H5N1 influenza vaccine. Although their development has been slower than anticipated, vaccinations based on plant biotechnology are now a reality. It is

Candidate vaccine	Plant	Antigen	Animal study	Route of delivery	Effects	References
Streptococcus pneumoniae	Tobacco	Serotype 3 capsular polysaccharide/extracted	MF1 female mice	Intraperitoneal	Anti-pneumococcal polysaccharide serum antibody levels were noticeably higher in immunised mice.	[37]
Bacillus anthracis	Tobacco, Tomato, and Mustard	Protective antigen (PA)/extracted	BALB/c mice	Intraperitoneal/Protein extracted from tomato leaves	A specific mucosal immune response was observed	[42]
Mycobacterium tuberculosis	Potato, Tobacco, Carrot, Arabidopsis, and Lettuce	Ag85B, ESAT-6, MPT64, MPT83, Acr, Ag85B, ESAT-6 fused to LTB, CFP10, ESAT-6, Mtb72F, and ESAT-6 fused to CTB/extracted	C57BL/6 mice, BALB/c mice, Female ICR mice, Seryi velikan strain rabbits.	Orally, intranasal, intraperitoneal	Antigens expression	[44,45]
Respiratory syncytial virus	Tomato	F-gene/extracted	BALB/c mice	Oral immunization/each	Mice were given ripe transgenic tomatoes, which increased mucosal and serum RSV-F specific antibodies.	[36]
Bursal disease virus	Tobacco	VP2/extracted	Embryonated eggs of White Leghorn chickens	Intramuscular	Plant-produced VP2 can stimulate an adequate immunological response in chickens.	[27]
Asthma	Lupin	SSA-lupin/extracted	BALB/c mice	Intraperitoneal	Through a CD4+CD45RBlow T Cell and IFN- γ -dependent mechanism, SSA-lupin consumption increased an Ag-specific IgG2a Ab response	[48]

# Table 1. List of a few plant-based vaccines used for respiratory disorders

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Candidate vaccine	Plant	Antigen	Animal study	Route of delivery	Effects	References
Bronchial hyper- responsiveness	Rice	Der p 1/purified	BALB/c mice	Orally vaccinated by feeding 6–8-week-old female BALB/c mice were orally vaccinated	Mice given Tg rice seeds orally experience decreased IgE responses and T helper 2 (Th2) cytokine production in response to allergens (IL-4, IL-5, and IL-13)	[49]

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# Fig. 2. Applications of plant-based biotechnology toward the development of SARS-CoV2 vaccine candidates and assessment techniques. (A) Plant-based production of diagnostic reagents is indicated by red arrows. (B) Plant-based production of vaccine candidates against the SARS-CoV2 indicated by black arrows

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especially true of oral vaccinations, which include bioavailability, poor repeatability, and antigen stability as potential downsides [3,50]. Plant biotechnology enables the expression of foreign proteins in plants and suggests a near-term strategy for a viable SARS-CoV-2 vaccine candidate. The type of the targeted antigen will determine the expression strategy. We have explored employing a plant biotechnology platform as a potential strategy for developing a SARS-CoV-2 vaccine in the section that follows (Fig. 2).

As a large-scale manufacturing platform, a tomato plant is displayed as a model plant for both transient expression and stably transformed transgenic plants (Fig. 2). Using genetic engineering techniques, target antigens can be expressed either stably or momentarily, allowing researchers to employ various immunisation strategies. High antigen protein yields in the transformed plants can be purified using the temporary transformation process to create therapeutic monoclonal antibodies or injectable vaccinations. The edible plant species can produce oral vaccination formulations, such as capsules or tablets containing antigens from freeze-dried leaves, using a reliable genetic transformation process. They can also be used as an enhancer.

## 4. APPLICATION OF PLANT BIOTECHNOLOGY FOR SARS-COV-2 VACCINE DEVELOPMENT

The protein structures known as virus-like (VLPs) and nanoparticles particles (NPs) resemble native viruses but lack their viral genomes and infectious properties, making them a safer platform for vaccine candidates [51]. Both NPs and VLPs are self-assembling proteins that have the target epitope more densely distributed on their surface. Antigenic epitopes must be repeated in nanoparticles for the innate humoral immune system and B cells to be activated [52,53]. The development of numerous platforms for NPs/VLPs design in the 21st century includes the use of viral core proteins, site-specific

# Table 2. Plant-based vaccination candidates for COVID-19 are now recognised by the WHO as being in the trial stage

Vaccine	Vaccine platform	Developers	Transformation method	Expression system	Status	References
COVID-19 VPL Vaccine (CoVLP)	Virus-like particle (VLP)/Spike protein	Medicago Inc. (Québec, Canada)	VLPExpress™ system (Agro- infiltration)	Nicotiana benthamiana	Phase 2/3	[54]
COVID-19 Subunit Vaccine (KBP-201)	Protein Subunit	Kentucky BioProcessing, Inc. (KBP)	Agro-infiltration	Nicotiana benthamiana	Phase 2	[55]
COVID-19 Subunit Vaccine (IBIO-201)	Protein Subunit/Spike protein	iBio, Inc. (NY, USA)	FastPharming™ system (Agro- infiltration)	Arabidopsis thaliana	Pre-clinical	[56]
COVID-19 Subunit Vaccine	S1 and S2 (Spike) and nucleocapsid subunits- based recombinant protein vaccines are being developed using a plant expression vector.	Akdeniz University (Turkey)	Agro-infiltration	Nicotiana benthamiana	Pre-clinical	[57]
COVID-19 Subunit Vaccine	Plant-based subunit (RBD-Fc + Adjuvant)/Spike protein	Baiya Phytopharm/Chula Vaccine Research Center (Thailand)	Agro-infiltration	Nicotiana benthamiana	Pre-clinical	[9]
SARS-Cov-2	S1 Protein	- Chiroz University (Iron)	-	Transgenic tomato	Preclinical	[55]
	protein	Shinaz University (Iran)	Agro-inilitration	Nicoliana penthamiana	Pre-clinical	[97]

ligations-driven covalent links of individual folded proteins. non-covalent and intramolecular formation of de novo protein nanostructure through intermolecular interactions. Selfassembled protein NPs and VLPs both provide highly stable, organised, and monodisperse vaccine formulations as well as advanced bio agricultural production. The most investigated and promising molecular carriers for the creation of the new vaccine are now NPs/VLPs [51]. The phase 2/3 clinical trials for the COVID-19 vaccine candidate from Medicago and the pandemic adjuvant from GlaxoSmithKline (GSK) have begun. Utilizing Coronavirus-Like-Particle (Co-VLP) technology, Medicago's plant-derived vaccine candidate against COVID-19 is made of recombinant spike (S) glycoprotein and expressed as virus-like particles (VLPs). The following table (Table 2) suggests a brief overview of the plant-based vaccines developed for fighting against coronavirus.

We have the ideal platform for creating a vaccine against SARS-CoV-2 thanks to our prior experience creating VLPs for heterologous generated MERS and SARS-CoV-1 antigens in recombinant systems. According to a study, generated VLPs resembled SARS-CoV-1 virions in terms of morphology [58]. According to another study, membrane and envelope proteins (E and M, respectively) are necessary for the effective production of virus-like particles and may be seen using electron microscopy. Immature dendritic cells (DCs) were activated by VLPs made of membrane proteins from various sources, which increased the production of costimulatory molecules and cytokine release [59,60].

# 5. CONCLUSION AND FUTURE PROSPECTS

A global health emergency caused by the COVID-19 outbreak necessitates the development of new vaccinations to combat this pandemic. Candidates for a vaccine based on plant biotechnology present an attractive strategy for controlling this pathogen. The expression platform that is currently accessible provides pertinent guidelines for creating a COVID-19 candidate vaccine. One of the alternate methods for producing vaccines is a transient expression system for deconstructing viral vectors. Tobacco is used as the host plant, which enables quick utilisation of plants as effective, large-scale biofactories for injectable vaccine candidates. The probable loss of exogenous genes and

subsequent loss of systemic infectivity are significant drawbacks of this method. Using a subgenomic promoter produced from a different virus can stop this, though. Heterologous genetic recombination will result from it. Another possibility is the VLPs vaccine, which offers an alluring method for creating effective and safe vaccinations that maintain antigenic determinants and have good immunogenicity. The fact that VLPs-based vaccinations cannot be employed for all virus types could be a significant disadvantage for this technique. The VLPs vaccine has a lot of potentials, though, when you consider its benefit. The VLPs platform has a history of success with the previous SARS-CoV-1. Therefore, developing VLPs based on several SARS-CoV-2 structural proteins is a great strategy against COVID-19. An alternative strategy is to create oral vaccinations based on edible plant species that undergo nuclear transformation. Immunotherapy for the mucosa will be administered. The possibility of a plantbased anti-COVID-19 vaccine is encouraged by the existence of currently licenced plant-based influenza vaccines. According to the Coalition for Epidemic Preparedness Innovations (CEPI), the ability of the world's vaccine factories to produce 2-4 billion doses of vaccinations yearly will be insufficient to meet demand by 2023-2024. Along with various restrictions, this capability may also be product-specific. For instance, biosafety level 3-capable facilities are required for the production of whole-inactivated viral vaccines. The regulatory licencing, technology transfer and scale-up of vaccine production, purification, or formulation processes may take time in addition to this administrative procedure, and meeting these needs promptly will continue to be difficult. The shortfall can be filled and the supply/demand balance maintained via а platform of plant-based vaccines. To fully assess the potential of a plant-based vaccination for COVID-19 or any future pandemic, the upcoming years will be critical.

## **COMPETING INTERESTS**

Authors have declared that no competing interests exist.

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